

THE CANADIAN ANTI-MONEY LAUNDERING INSTITUTE



Seminar Archive DVD



Ongoing Training Programs: A New Standard for Reporting Entities

Over the past few years, we have seen an ever-increasing standardization of AML/CTF compliance regime practices by Canada's various regulatory authorities. These standards of practice are becoming the basis on which the regulator requests information prior to an audit or examination and subsequently measures what the entity has put in place to be reported in the close-out meeting of the audit and then in the follow-up written report. This approach is becoming increasingly common in response to the growing focus of regulators on such requirements as reporting, record-keeping, policy and procedure manuals, and risk-based management practices.

Currently, reports from various sectors are referencing the focus by regulators on employee training programs and their expectation that training must extend beyond the scheduled annual event. The expectation has become that a reporting entity will have added a second level to the written ongoing training program, which addresses such topics as: when and how training takes place; the frequency of training; which employees receive the training and at what levels; method(s) of delivery; content descriptions; the differences between the annual training and the on-going training; how do you ensure retention of knowledge; how do you keep information current; to what degree of detail should the content reach; and, how do you enhance your training when new products or services are implemented?

The annual training process by itself is absolutely required but no longer sufficient to meet the current training standard of practice. Compliance officers and company executives can no longer be satisfied with this annual event. Training to be effective must be dynamic in nature to ensure it is current; reinforced to ensure retention; reviewed to maintain consistency; and a regular element of the working life of each employee and manager. Failing to do so could put the corporate compliance regime at risk for not meeting the standards of practice that are needed to ensure all employees and managers are "on top of things".



Drawing on his wealth of experience in AML/CTF training and education, Chris Walker of About Business Crime Solutions discusses the new level of training footprint compliance officers need to put in place to meet this key pillar in the legislated AML Compliance Regime.

Presenter:

Chris Walker – About Business Crime Solutions, Inc.



Chris, a criminologist and President of *ABCsolutions*, has over 37 years experience working in the public and private sectors with the last eighteen years focused on money laundering controls across Canada. Chris is recognized as a skilled educator who specializes in bringing out the pragmatic aspects of new knowledge using real life examples and common sense approaches to applying new information.

System Requirements

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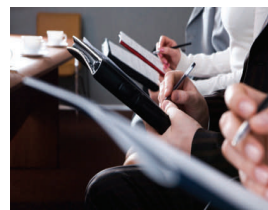
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This webcast seminar is aimed at anyone involved with the development and/or implementation of anti-money laundering compliance procedures within their organization, including:

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