

2017 CAMLI Workshops

Employee Fraud: The Signs, Risks and Preventive Measures

**Using Statistical Data with Risk Assessments:
What's Available & How to Use It Effectively**



Workshop 1 - Employee Fraud: The Signs, Risks and Preventive Measures

September 11, 2017 - 7:30am - 11:45am

Sound risk assessment programs must look at mitigating the risks generated by a business' workforce. This has become increasingly relevant in today's money laundering control environment, given the prevalence of media reports that describe employees who have: played a key role in the success of money laundering within their organization; planned and carried out internal frauds, generating funds that had to be laundered to escape attracting attention; or used workplace skills to recognize fraud and the movement of dirty money in order to successfully perpetrate their own fraudulent schemes.

Jeff Filliter's career has included numerous files where he has been brought in to investigate and identify the perpetrators of suspected fraudulent schemes; and work with businesses to develop fraud prevention control programs. This experience and supporting case studies will be explored from the risk and subsequent risk mitigation perspectives. Jeff will define employee fraud and support that definition through a detailed look at case studies that he personally investigated. Jeff plans to encourage audience participation by exploring different perspectives, options, and techniques that could be applied in each case. Finally, Jeff wants to promote practical application through a discussion of how each crime might have been prevented, focusing on identifying the most effective preventative measures to use.

Workshop Schedule	
7:30 - 8:30	Registration and Breakfast
8:30 - 10:00	Workshop
10:00 - 10:30	Coffee Break
10:30 - 11:45	Workshop

Workshop 2 - Using Statistical Data with Risk Assessments: What's Available and How to Use It Effectively

September 13, 2017, 12:30pm - 5:00pm

An integral component of all risk assessment programs is for the reporting entity Compliance Officer to identify, confirm, assess, and justify the risks associated with the business itself and the customers who purchase its products and services. That multi-tiered process requires drawing conclusions from information sources available on the environment in which the business operates and the demographic features each customer brings to the business relationship with the reporting entity. Crime, employment, income, population, industrial, economic, and age factors are but some of the data sources that can be used to differentiate degrees of risk across business products, services, delivery methods, and geographic locations; as well as when it comes to drilling down to the customer level and the assignment of risk to each customer.

Workshop Schedule	
12:30 - 1:30	Registration and Lunch
1:30 - 3:00	Workshop
3:00 - 3:20	Coffee Break
3:20 - 4:45	Workshop

The workshop has been structured to examine the topic from three aspects. First will be a discussion of the types of statistical data that are extremely useful when performing KYC activities and the subsequent development of Risk Assessment parameters (e.g. local and regional crime, employment, income, and population statistics). Once this data expanse has been explored, the presenters will look at the different levels of data that is available; the sources for that data; how to read and understand statistical tables; and what the numbers mean and don't mean. Finally, the presenters will explore the variety of methods that Compliance Officers can use the data to strengthen business and customer risk assessments. Visual representations, heat mapping, and the layering of data techniques are all very effective ways of displaying the data to support contextual discussions. They help bring the risk assessment arguments and positions alive and make them meaningful.

Session Presenter - Jeff Filliter

J.R. Filliter Investigative Services

Jeff Filliter has over 43 years of investigative experience with the R.C.M.P., Scotiabank, and in private practice. He holds an honors degree in Administrative Studies (BA), with distinction, has been a Certified Fraud Examiner since 1995, and specializes in domestic and international fraud, money laundering, and asset tracing. He has provided expert civil and criminal testimony globally, and has been declared a professional witness in the U.K. Jeff is an expert in financial and white-collar crime; fraud; money laundering; terrorist financing; proceeds of crime; forensic investigations; organized crime; risk management; and security. In 2016, Jeff published a book titled 'The Shallowest of Men', a fictionalized version of factual events, involving murder, fraud, money laundering and corruption.

Session Presenter - Marta Burczykca

Canadian Centre for Justice Statistics, Statistics Canada

Marta Burczykca (pronounced *Burr-chit-ska*) is an analyst and author at the Canadian Centre for Justice Statistics (a division of Statistics Canada). Using data from a variety of survey and administrative sources, she has written on topics ranging from police resources, transition homes for women, and trends in administration of justice offences. Most recently, Marta has been the lead author of the annual publication *Family Violence in Canada: A Statistical Profile*. Her experience at the Centre also includes managing the Police Administration, Homicide, and Transition Home Surveys, preparing material for presentation to various Parliamentary and Senate committees, and representing Statistics Canada on the multi-departmental federal Family Violence Initiative. Marta has presented at international academic conferences and has led workshops aimed at familiarizing researchers with Statistics Canada data holdings. She holds a Master of Arts degree in Sociology (Carleton, 2006), is English/French bilingual and fluent in Polish. Marta currently lives in Ottawa, Ontario.

Session Presenter - Julian Arend

About Business Crime Solutions, Inc.

Julian Arend is an AML/CTF consultant with ABCsolutions. In that capacity, one of his primary responsibilities is monitoring the Canadian compliance regime for an MSB, for whom he assesses customer risk, develops risk mitigation and data collection protocols, and organizes AML/CTF reporting. Prior to joining ABCsolutions, Julian was an award-winning educator, teaching adults at both the College and University levels for over a decade. From 2009 to 2014, he was also a Research Fellow at the Centre for International and Security Studies at York University where he conducted specialized research on terrorism/counterterrorism, political communication and public policy, and was invited to present his findings at a number of international conferences in those fields. Through his extensive work and academic experience, Julian has gained expertise in data analysis and in developing effective methods to synthesize complex data points into meaningful frameworks that can be communicated simply and applied with clarity.

As is the style of all CAMLI workshops, discussion and the sharing of information across and between the instructor and delegates are paramount. Questions for clarification and understanding are promoted. Such an interactive learning environment will require that CAMLI limits the number of delegates who can register. Consequently, those interested should register early to secure their seat.

The workshops are being offered under the auspices of the Canadian Anti-Money Laundering Institute (CAMLI) and delegates will receive a Certificate of Participation from CAMLI. In addition, each workshop will carry 2.5 CAMLI Continuing Education Credits.

Hotel Information

Hotel Grand Pacific
463 Belleville Street
Victoria, British Columbia, Canada

All room reservations can be made in the following ways:

- Call the toll free reservation line at 1-800-663-7550, Local calls: 250-386-0450. Group Name: ABCsolutions Booking Code: SEPT17ABCSOLUTIONS
- Email: reserve@hotelgrandpacific.com

For 2017, we have arranged with the hotel special room rates for our delegates. Specifically, the Hotel Grand Pacific is offering rates starting at \$229/night for all delegates.

www.camli.org

Registration Form: CAMLI 2017 Workshops

Wednesday, September 11 & 13, 2017
Hotel Grand Pacific



	Extra Early Bird (before April 14)	Early Bird (before June 30)	Regular Rate
Non Member Pricing September 11&13th	\$600 + GST \$630.00 Total	\$625 + GST \$656.25 Total	\$675 + GST \$708.75 Total
CAMLI Member Pricing September 11&13th	\$550 + GST \$577.50 Total	\$575 + GST \$603.75 Total	\$625 + GST \$656.25 Total
Non Member Pricing September 11 or 13th (Circle date)	\$325+ GST \$341.25 Total	\$350 + GST \$367.50 Total	\$375 + GST \$393.75 Total
CAMLI Member Pricing September 11 or 13th (Circle date)	\$300 + GST \$315.00 Total	\$325 + GST \$321.50 Total	\$350 + GST \$367.50 Total

Food Allergies: If Yes (Specify) _____

Name of Company			
Delegate Name			
Email Address			
Phone Number		Fax Number	
Street Address			
City/Town/Province		Postal Code	

How to Register: [ONLINE](#)

BY Mail:

Canadian Anti-Money Laundering Institute
 PO Box 427
 Merrickville, Ontario, K0G 1N0

BY:

Telephone: 613 283-9659
 Fax: 613 526-9384
 Email: contactus@camli.org



Payment Methods By:

- ☐ Cheque Enclosed
 ☐ Money Order Enclosed
 ☐ Credit Card

Amount Paid (including taxes): _____ CAMLI Membership Number (if applicable): _____

- Card Type: ☐ MasterCard ☐ VISA ☐ American Express ☐ Discover [Please Print]
- Name on Card: _____
- Number on Card: _____
- Expiry Date (month/year): _____

Signature: _____

General Information:

- Location of Registration Desk and seminar room at the Hotel Grand Pacific will be sent to paid delegates by email in advance of the actual presentation day.
- Full refunds, less an administrative fee of \$200.00, will be made for cancellations received in writing by June 30, 2017. No refunds will be given after that date; however, delegate substitutions are permitted.
- Casual business attire is recommended for the seminar.