



Running Time:
2:21:46

Governance and Oversight: Owners, Board of Directors & Senior Management Responsibilities

Overall accountability for a business' AML/CTF Compliance Regime rests at the highest levels of the organization. That accountability comes from the oversight and governance responsibilities held by those tasked with running the business: owners, executives, and external Board members. The associated roles and responsibilities vary but each has specific applications. What are they? And why are they in place?

Managing such oversight demands that those in control are kept in the loop about the legislated and regulatory demands on the business; the application of those demands; the impacts of ML/TF risks on the business; and the emerging trends and new product/service lines that will generate additional risks that need to be mediated.

This seminar explores in some detail the expectations and duties in the world of AML/CTF governance and oversight. The instructors discuss these across small, medium, and large-sized businesses, drawing on real-life examples and experience consulting in the field. This workshop has been tailored assist Directors from reporting entity Boards, as well as business owners, senior managers, and compliance officers.

This seminar is being offered under the auspices of the Canadian Anti-Money Laundering Institute and carries four (2) CAMLI Continuing Education Credits.



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Christopher Walker, About Business Crime Solutions, Inc.

Chris is a criminologist and President of ABCsolutions. Chris has over 40 years of experience working in the public and private sectors with the last 22 years focused on money laundering controls across Canada. Chris is recognized as a skilled educator, who specializes in bringing out the pragmatic aspects of new knowledge using real life examples and common sense approaches to applying new information. He has taught adult learners at both the College and University level and worked as training coordinator at both the Federal and Provincial department level. For the past 16 years Chris has developed extensive knowledge of and experience with the various AML/CTF Compliance Regime requirements and practices across the reporting sectors governed by Canada's Money Laundering legislation. He has used that knowledge and associated insights to develop with Marcy two seminars that look at the real world practices associated with the various topics presented.

Marcy Betts, AML Consultant

Marcy Betts has 29 years of experience working in the financial institutions sector in the Prairie provinces. Starting in the front lines of a large credit union, she worked her way up to the role of Operations Analyst responsible for the development and implementation of various policies and procedures across the organization. In 2000, Marcy assumed AML/CTF compliance duties. This role of Compliance Officer grew exponentially to include applying her knowledge in the field to smaller credit unions that came looking for help and expertise. Today, Marcy oversees the AML compliance programs for more than twenty credit unions in Saskatchewan and Manitoba. She has an excellent working relationship with the regulators; and has experienced more than 40 FINTRAC examinations with more than 30 receiving no deficiencies. These seminars will enable Marcy to share her practical experience and insights on the various topics to be covered, including the lessons learned and remediation responses arising from her FINTRAC examination experiences.



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Video Archive - Governance and Oversight: Owners, Board of Directors & Senior Management Responsibilities

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	13% ON	\$282.50	\$254.25
	5% AB, BC, MB, QC, SK, NT, NU, YT	\$262.50	\$236.25

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